

# Do we really understand SQL?

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# Basic questions

- We are taught that the core of SQL is essentially syntax for relational calculus (first-order logic). **Is it true?**
- We are taught that core SQL can be translated into relational algebra. **Is it true?**
- We are taught that SQL needs 3-valued logic to deal with missing information (nulls). **Is it true?**

# Motivation

- Why even ask such questions? It's the stuff from the 1980s (or earlier). It's all in database textbooks and taught in all database courses.
- This is exactly what we thought until we got into some specific problems related to real-life SQL
  - So we start with a bit of history

# Old days (before 1969)



Various ad-hoc database modes:

- network
- hierarchical

writing queries: a very elaborate task

All changed in 1969: Codd's relational model;  
now dominates the world

# Relational Model

Orders

ORDER_ID	TITLE	PRICE
Ord1	"Big Data"	30
Ord2	"SQL"	35
Ord3	"Logic"	50

Pay

CUST_ID	ORDER
c1	Ord1
c2	Ord2

Customer

CUST_ID	NAME
c1	John
c2	Mary

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Language: **Relational Algebra (RA)**

- projection  $\pi$  (find book titles)
- selection  $\sigma$  (find books that cost at least £40)
- Cartesian product  $\times$
- union  $\cup$
- difference  $-$

# Queries

*Find ids of customers who buy all books:*

$\pi_{\text{cust\_id}}(\text{Pay}) -$

$\pi_{\text{cust\_id}} \left( \left( \pi_{\text{cust\_id}}(\text{Pay}) \times \pi_{\text{title}}(\text{Order}) \right) -$

$\pi_{\text{cust\_id}, \text{title}} \left( \sigma_{\text{order\_id}=\text{order}} (\text{Order} \times \text{Pay}) \right) \right)$

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express queries in **logic**



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$\{c \mid \forall (o,t,p) \in \text{Order} \exists (o',t,p') \in \text{Order}: (c,o') \in \text{Pay}\}$

This is *first-order logic* (FO).

Codd 1971: **RA = FO**.

# History continued

Of course programmers don't write logical sentences, they need a programming syntax. Enters **SQL**:

```
SELECT P.cust_id FROM P
WHERE NOT EXISTS
  (SELECT * FROM Order O
   WHERE NOT EXISTS
     (SELECT * FROM Order O1
      WHERE O1.title=O.title AND O1.order_id=P.order))
```

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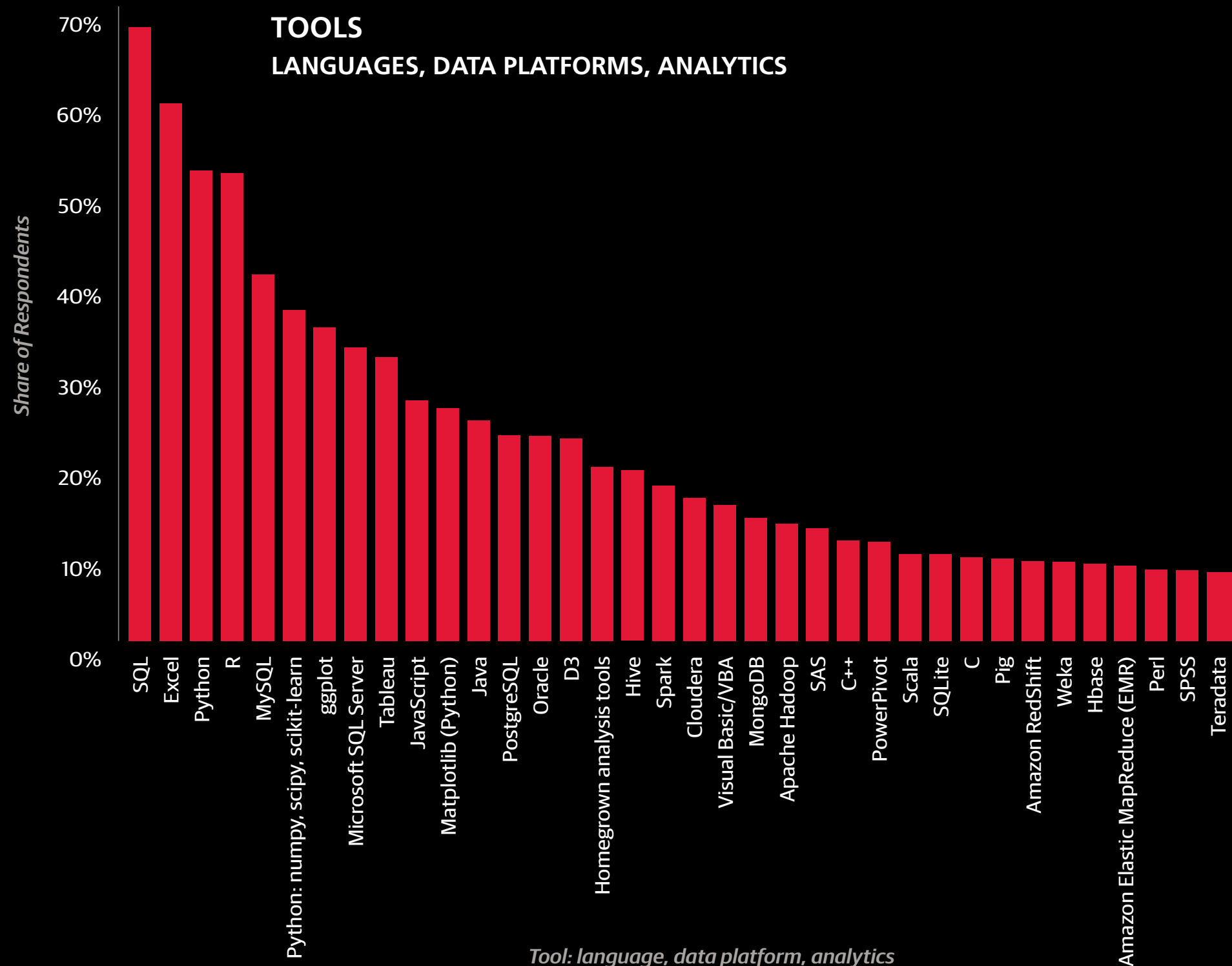
Idea:

- Take FO and turn into programming syntax.
- Then use RA to implement queries.

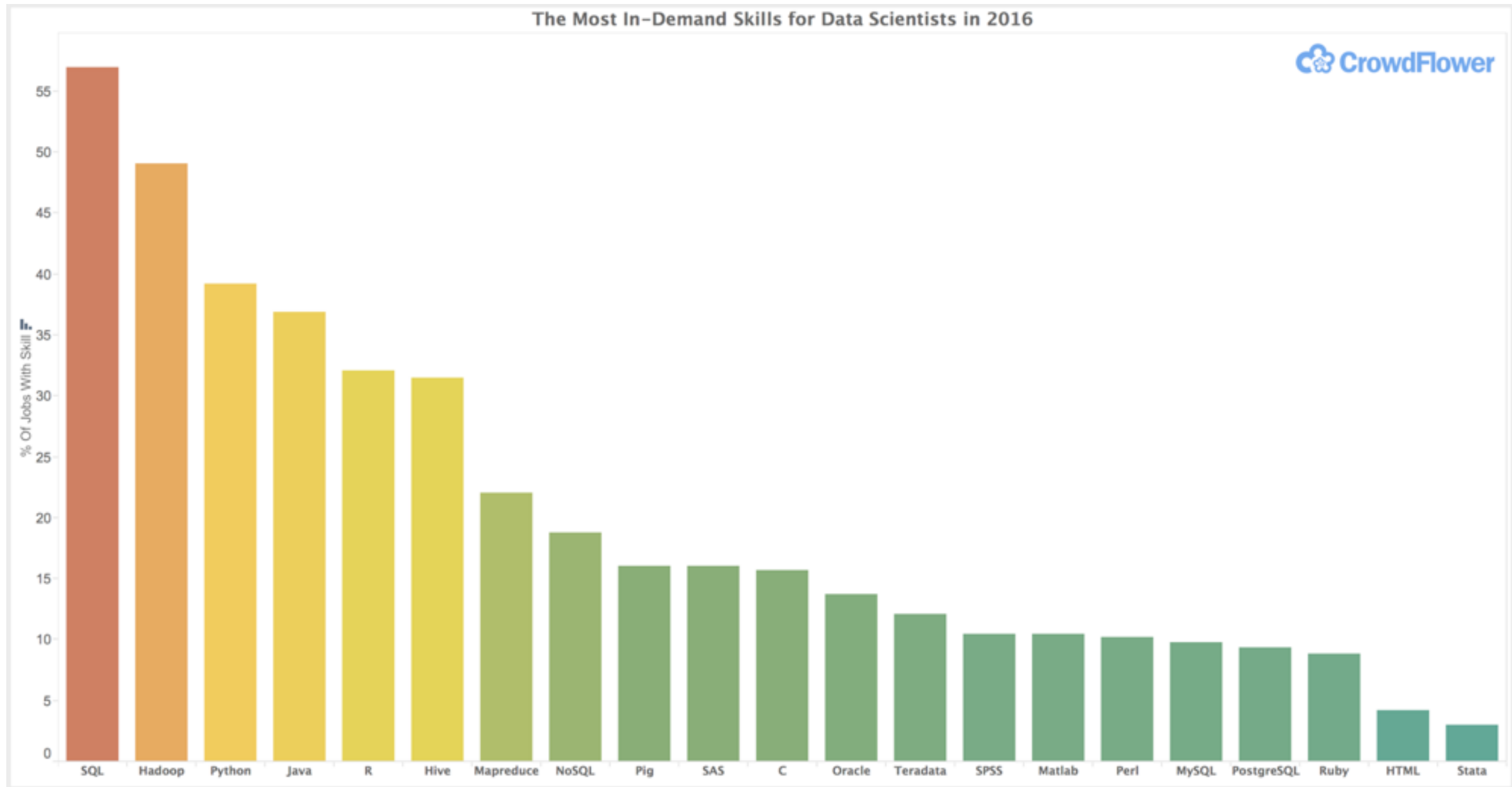
# SQL development

- SQL has since become the dominant language for relational databases
- Standards: SQL-86, SQL-89, SQL-92, SQL:1999, SQL:2003, SQL:2008, SQL:2011, SQL:2016
- The latest standard is in 9 parts, will make you \$1000 poorer if you buy them all.
- But the core remains the same, essentially FO.
- And it is **the main big data tool!**

# Data scientists' favorite tools



# Future data scientists' favorite tools





# But do we understand it?

- Even the basic fragment, that stays the same in all the Standards:
  - does it have the power of RA? Does it have the power of FO?
  - Is there a formal semantics of it?
- Let's do a little quiz and see how well we know the basics.

**TASK:** Relations  $R(A)$ ,  $S(A)$

Compute  $R - S$ .

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select * from r except select * from s
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R	S					
<table><tr><th>A</th></tr><tr><td>1</td></tr><tr><td>null</td></tr></table>	A	1	null	<table><tr><th>A</th></tr><tr><td>null</td></tr></table>	A	null
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# An exam question that nicely brings down the average grade

What is the output of these queries?

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SELECT 1 FROM S
WHERE (null = (null =
          (null = (null = null) is null))
        is null)) is null
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∅

# SQL vs Relational Algebra: attributes may repeat

$Q = \text{SELECT } R.A, R.A \text{ FROM } R$  on

A
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null

gives

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null	null

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Answer:

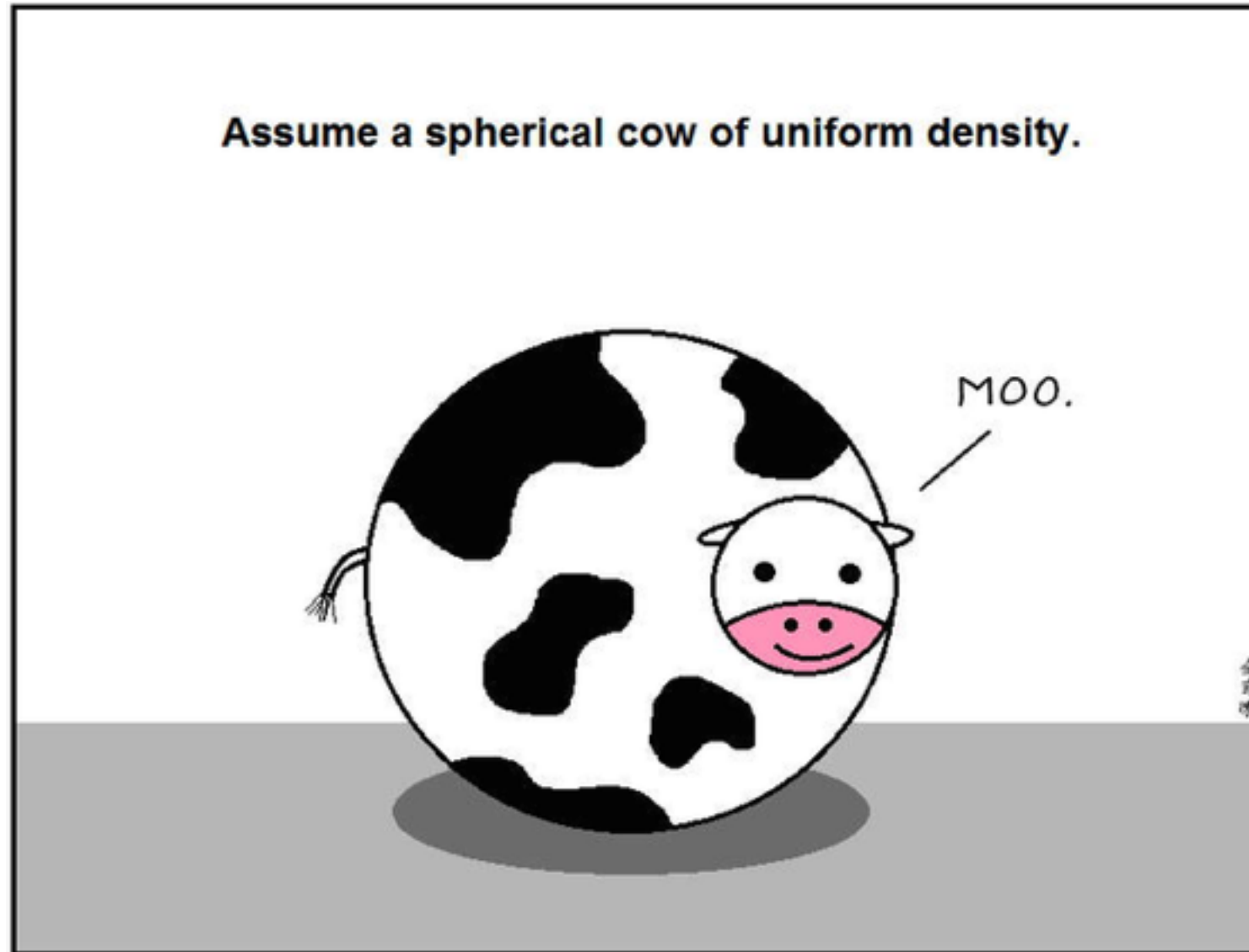
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# Why do we find these questions difficult?

- Reason 1: there is no formal semantics of SQL.
  - The Standard is rather vague, not written formally, and different vendors interpret it differently.
- Reason 2: theory works with a simplified model, no nulls, no duplicates.
  - Under these assumptions several semantics exist (1985 - 2017) but they do not model the real language.

It is much harder to deal with the **real thing** than with  
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# Another example: Query equivalences

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return

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Now the same in SQL:

Q1 = `SELECT R.A FROM R`

returns

A
1
3

Q2 = `SELECT R1.A FROM R R1, R R2` returns

A
1
1
3
3

# The infamous NULL

- Comparisons with nulls, like  $2 = \text{NULL}$ , result in truth value unknown
- It then propagates: true  $\wedge$  unknown = unknown, true  $\vee$  unknown = true
  - rules of **propositional** 3-valued logic of Kleene
- When condition is evaluated, only tuples for which it is true are returned
  - false and unknown are treated the same
- It's a weird logic and it is **not** the 3-valued **predicate** calculus!

# The bottom line

- Many spherical cows out there but no real one.
- There are lots and lots of issues to address to give proper semantics of SQL
- None of the simplified semantics came even close.
- We do it for the basic fragment of SQL:
  - `SELECT-FROM-WHERE` without aggregation
  - but with pretty much everything else

# Syntax

$$\tau : \beta := T_1 \text{ AS } N_1, \dots, T_k \text{ AS } N_k \quad \text{for } \tau = (T_1, \dots, T_k), \beta = (N_1, \dots, N_k), \quad k > 0$$
$$\alpha : \beta' := t_1 \text{ AS } N'_1, \dots, t_m \text{ AS } N'_m \quad \text{for } \alpha = (t_1, \dots, t_m), \beta' = (N'_1, \dots, N'_m), m > 0$$

QUERIES:

$$Q := \text{SELECT } [\text{DISTINCT}] \alpha : \beta' \text{ FROM } \tau : \beta \text{ WHERE } \theta$$
$$| \text{SELECT } [\text{DISTINCT}] * \text{ FROM } \tau : \beta \text{ WHERE } \theta$$
$$| Q (\text{UNION} | \text{INTERSECT} | \text{EXCEPT}) [\text{ALL}] Q$$

CONDITIONS:

$$\theta := \text{TRUE} | \text{FALSE} | P(t_1, \dots, t_k), P \in \mathcal{P}$$
$$| t \text{ IS } [\text{NOT}] \text{ NULL}$$
$$| \bar{t} [\text{NOT}] \text{ IN } Q | \text{ EXISTS } Q$$
$$| \theta \text{ AND } \theta | \theta \text{ OR } \theta | \text{ NOT } \theta$$

**Names:** either simple (R, A) or composite (R.A)

**Terms** t: constants, nulls, or composite names

**Predicates:** anything you want on constants

# Semantics: labels

$\ell(R)$  = tuple of names provided by the schema

$\ell(\tau) = \ell(T_1) \cdots \ell(T_k)$  for  $\tau = (T_1, \dots, T_k)$

$$\ell\left(\begin{array}{l} \text{SELECT } [\text{DISTINCT}] \alpha : \beta' \\ \text{FROM } \tau : \beta \text{ WHERE } \theta \end{array}\right) = \beta'$$

$$\ell(\text{SELECT } [\text{DISTINCT}] * \text{FROM } \tau : \beta \text{ WHERE } \theta) = \ell(\tau)$$

$$\ell(Q_1 (\text{UNION} \mid \text{INTERSECT} \mid \text{EXCEPT}) [\text{ALL}] Q_2) = \ell(Q_1)$$

# Semantics

$$\llbracket Q \rrbracket_{D, \eta, x}$$

$Q$ : query

$D$ : database

$\eta$ : environment (values for composite names)

$x$ : Boolean switch to account for non-compositional nature of  
SELECT \* (to show where we are in the query)

# Semantics of terms

$$\llbracket t \rrbracket_\eta = \begin{cases} \eta(A) & \text{if } t = A \\ c & \text{if } t = c \in \mathbb{C} \\ \text{NULL} & \text{if } t = \text{NULL} \end{cases}$$

$$\llbracket (t_1, \dots, t_n) \rrbracket_\eta = (\llbracket t_1 \rrbracket_\eta, \dots, \llbracket t_n \rrbracket_\eta)$$

# Semantics: queries

$$\llbracket R \rrbracket_{D,\eta,x} = R^D$$

$$\llbracket \tau : \beta \rrbracket_{D,\eta,x} = \llbracket T_1 \rrbracket_{D,\eta,0} \times \cdots \times \llbracket T_k \rrbracket_{D,\eta,0} \quad \text{for } \tau = (T_1, \dots, T_k)$$

$$\left[ \begin{array}{l} \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} \tau : \beta \\ \theta \end{array} \right]_{D,\eta,x} = \left\{ \underbrace{\bar{r}, \dots, \bar{r}}_{k \text{ times}} \mid \bar{r} \in_k \llbracket \tau : \beta \rrbracket_{D,\eta,0}, \boxed{\llbracket \theta \rrbracket_{D,\eta'} = \mathbf{t}}, \eta' = \eta \oplus^{\bar{r}} \ell(\tau : \beta) \right\}$$

$$\left[ \begin{array}{l} \text{SELECT} \\ \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} \alpha : \beta' \\ \tau : \beta \\ \theta \end{array} \right]_{D,\eta,x} = \left\{ \underbrace{\llbracket \alpha \rrbracket_{\eta'}, \dots, \llbracket \alpha \rrbracket_{\eta'}}_{k \text{ times}} \mid \eta' = \eta \oplus^{\bar{r}} \ell(\tau : \beta), \bar{r} \in_k \left[ \begin{array}{l} \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} \tau : \beta \\ \theta \end{array} \right]_{D,\eta,x} \right\}$$

$$\left[ \begin{array}{l} \text{SELECT} \\ \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} * \\ \tau : \beta \\ \theta \end{array} \right]_{D,\eta,0} = \left[ \begin{array}{l} \text{SELECT} \\ \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} \ell(\tau : \beta) : \ell(\tau) \\ \tau : \beta \\ \theta \end{array} \right]_{D,\eta,0}$$

$$\left[ \begin{array}{l} \text{SELECT} \\ \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} * \\ \tau : \beta \\ \theta \end{array} \right]_{D,\eta,1} = \left[ \begin{array}{l} \text{SELECT} \\ \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} c \text{ AS } N \\ \tau : \beta \\ \theta \end{array} \right]_{D,\eta,1} \quad \text{for arbitrary } c \in \mathbf{C} \text{ and } N \in \mathbf{N}$$

$$\left[ \begin{array}{l} \text{SELECT DISTINCT} \\ \text{FROM } \tau : \beta \text{ WHERE } \theta \end{array} \begin{array}{l} \alpha : \beta' \\ * \end{array} \right]_{D,\eta,x} = \varepsilon \left( \left[ \begin{array}{l} \text{SELECT} \\ \text{FROM } \tau : \beta \text{ WHERE } \theta \end{array} \begin{array}{l} \alpha : \beta' \\ * \end{array} \right]_{D,\eta,x} \right)$$



# Semantics: conditions

$$\llbracket P(t_1, \dots, t_k) \rrbracket_{D, \eta} = \begin{cases} \mathbf{t} & \text{if } P(\llbracket t_1 \rrbracket_{\eta}, \dots, \llbracket t_k \rrbracket_{\eta}) \text{ holds and } \llbracket t_i \rrbracket_{\eta} \neq \mathbf{NULL} \text{ for all } i \in \{1, \dots, k\} \\ \mathbf{f} & \text{if } P(\llbracket t_1 \rrbracket_{\eta}, \dots, \llbracket t_k \rrbracket_{\eta}) \text{ does not hold and } \llbracket t_i \rrbracket_{\eta} \neq \mathbf{NULL} \text{ for all } i \in \{1, \dots, k\} \\ \mathbf{u} & \text{if } \llbracket t_i \rrbracket_{\eta} = \mathbf{NULL} \text{ for some } i \in \{1, \dots, k\} \end{cases}$$

$$\llbracket t \text{ IS NULL} \rrbracket_{D, \eta} = \begin{cases} \mathbf{t} & \text{if } \llbracket t \rrbracket_{\eta} = \mathbf{NULL} \\ \mathbf{f} & \text{if } \llbracket t \rrbracket_{\eta} \neq \mathbf{NULL} \end{cases}$$

$$\llbracket t \text{ IS NOT NULL} \rrbracket_{D, \eta} = \neg \llbracket t \text{ IS NULL} \rrbracket_{D, \eta}$$

$$\llbracket (t_1, \dots, t_n) = (t'_1, \dots, t'_n) \rrbracket_{D, \eta} = \bigwedge_{i=1}^n \llbracket t_i = t'_i \rrbracket_{D, \eta} \quad \llbracket (t_1, \dots, t_n) \neq (t'_1, \dots, t'_n) \rrbracket_{D, \eta} = \bigvee_{i=1}^n \llbracket t_i \neq t'_i \rrbracket_{D, \eta}$$

$$\llbracket \bar{t} \text{ IN } Q \rrbracket_{D, \eta} = \begin{cases} \mathbf{t} & \text{if } \exists \bar{r} \in \llbracket Q \rrbracket_{D, \eta, 0} \text{ s.t. } \llbracket \bar{t} = \bar{r} \rrbracket_{D, \eta} = \mathbf{t} \\ \mathbf{f} & \text{if } \forall \bar{r} \in \llbracket Q \rrbracket_{D, \eta, 0} \text{ s.t. } \llbracket \bar{t} = \bar{r} \rrbracket_{D, \eta} = \mathbf{f} \\ \mathbf{u} & \text{if } \nexists \bar{r} \in \llbracket Q \rrbracket_{D, \eta, 0} \text{ s.t. } \llbracket \bar{t} = \bar{r} \rrbracket_{D, \eta} = \mathbf{t} \text{ and } \exists \bar{r} \in \llbracket Q \rrbracket_{D, \eta, 0} \text{ s.t. } \llbracket \bar{t} = \bar{r} \rrbracket_{D, \eta} \neq \mathbf{f} \end{cases}$$

$$\llbracket \bar{t} \text{ NOT IN } Q \rrbracket_{D, \eta} = \neg \llbracket \bar{t} \text{ IN } Q \rrbracket_{D, \eta}$$

$$\llbracket \text{EXISTS } Q \rrbracket_{D, \eta} = \begin{cases} \mathbf{t} & \text{if } \llbracket Q \rrbracket_{D, \eta, 1} \neq \emptyset \\ \mathbf{f} & \text{if } \llbracket Q \rrbracket_{D, \eta, 1} = \emptyset \end{cases}$$

$$\llbracket \text{TRUE} \rrbracket_{D, \eta} = \mathbf{t}$$

$$\llbracket \text{FALSE} \rrbracket_{D, \eta} = \mathbf{f}$$

$$\llbracket \theta_1 \text{ AND } \theta_2 \rrbracket_{D, \eta} = \llbracket \theta_1 \rrbracket_{D, \eta} \wedge \llbracket \theta_2 \rrbracket_{D, \eta}$$

$$\llbracket \theta_1 \text{ OR } \theta_2 \rrbracket_{D, \eta} = \llbracket \theta_1 \rrbracket_{D, \eta} \vee \llbracket \theta_2 \rrbracket_{D, \eta}$$

$$\llbracket \text{NOT } \theta \rrbracket_{D, \eta} = \neg \llbracket \theta \rrbracket_{D, \eta}$$

TRUTH TABLES:

$\wedge$	<b>t</b>	<b>f</b>	<b>u</b>	$\vee$	<b>t</b>	<b>f</b>	<b>u</b>	$\neg$
<b>t</b>	<b>t</b>	<b>f</b>	<b>u</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>f</b>
<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>t</b>	<b>f</b>	<b>u</b>	<b>t</b>
<b>u</b>	<b>u</b>	<b>f</b>	<b>u</b>	<b>u</b>	<b>t</b>	<b>u</b>	<b>u</b>	<b>u</b>

# Semantics: operations

$$\begin{aligned}\llbracket Q_1 \text{ UNION ALL } Q_2 \rrbracket_{D,\eta,x} &= \llbracket Q_1 \rrbracket_{D,\eta,0} \cup \llbracket Q_2 \rrbracket_{D,\eta,0} \\ \llbracket Q_1 \text{ INTERSECT ALL } Q_2 \rrbracket_{D,\eta,x} &= \llbracket Q_1 \rrbracket_{D,\eta,0} \cap \llbracket Q_2 \rrbracket_{D,\eta,0} \\ \llbracket Q_1 \text{ EXCEPT ALL } Q_2 \rrbracket_{D,\eta,x} &= \llbracket Q_1 \rrbracket_{D,\eta,0} - \llbracket Q_2 \rrbracket_{D,\eta,0} \\ \llbracket Q_1 \text{ UNION } Q_2 \rrbracket_{D,\eta,x} &= \varepsilon(\llbracket Q_1 \text{ UNION ALL } Q_2 \rrbracket_{D,\eta,x}) \\ \llbracket Q_1 \text{ INTERSECT } Q_2 \rrbracket_{D,\eta,x} &= \varepsilon(\llbracket Q_1 \text{ INTERSECT ALL } Q_2 \rrbracket_{D,\eta,x}) \\ \llbracket Q_1 \text{ EXCEPT } Q_2 \rrbracket_{D,\eta,x} &= \varepsilon(\llbracket Q_1 \rrbracket_{D,\eta,0}) - \llbracket Q_2 \rrbracket_{D,\eta,0}\end{aligned}$$

Bag interpretation of operations;  $\varepsilon$  is duplicate elimination

# Looks simple, no?

- It does not. Such basic things as variable binding changed several times till we got them right.
- The meaning of the new environment:

$$\left[ \begin{array}{l} \text{FROM} \\ \text{WHERE} \end{array} \begin{array}{l} \tau : \beta \\ \theta \end{array} \right]_{D, \eta, x} = \left\{ \underbrace{\bar{r}, \dots, \bar{r}}_{k \text{ times}} \mid \bar{r} \in_k \llbracket \tau : \beta \rrbracket_{D, \eta, 0}, \llbracket \theta \rrbracket_{D, \eta'} = \mathbf{t}, \boxed{\eta' = \eta \oplus^{\bar{r}} \ell(\tau : \beta)} \right\}$$

- in  $\eta$ , unbind every name that occurs among labels of the **FROM** clause
- then bind non-repeated names among those to values taken from record  $r$

# How do we know we got it right?

- Since the Standard is rather vague, there is only one way — **experiments**.
- But what kind of benchmark can we use?
- For performance studies there are standard benchmarks like **TPC-H**. But they won't work for us: not enough queries.

# Experimental Validation

- Benchmarks have rather few queries (22 in TPC-H). Validating on 22 queries is not a good evidence.
- But we can look at benchmarks, and then generate lots of queries that look the same.
- In TPC-H:
  - 8 tables,
  - maximum nesting depth = 3,
  - average number of tables per query = 3.2,
  - at most 8 conditions in WHERE (except two queries)

# Validation: results

- Small adjustments of the Standard semantics (for Postgres and Oracle)
- Random query generator
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# Validation: results

- Small adjustments of the Standard semantics (for Postgres and Oracle)
- Random query generator
- Naive implementation of the semantics
- Finally: experiments on 100,000 random queries
- **Yes, we got it right!**

# What can we do with this?

- Equivalence of basic SQL and Relational Algebra: formally proved for the first time.
- 3-valued logic of SQL vs the usual Boolean logic: is there any difference?



# Basic SQL = Relational Algebra

- We formally prove SQL = Relational Algebra (RA)
  - with nulls, subqueries, bags, all there is. And RA has to be defined properly too, to use bags and SQL's 3-valued logic.
  - **a small caveat**: in RA, attributes cannot repeat. So the equality is wrt queries that do not return repeated attributes.

# 3-valued logic of nulls

- From the early SQL days and database textbooks:  
**if you have nulls, you need 3-valued logic.**
- But 3-valued logic is not the first thing you think of as a **logician**.
- And it makes sense to think as a logician: after all, the core of SQL **is claimed to be first-order logic** in a different syntax.

# What would a logician do?

# What would a logician do?

- First Order Logic (FO)
  - domain has usual values and **NULL**
  - Syntactic equality: **NULL** = **NULL** but **NULL**  $\neq$  5 etc
  - Boolean logic rules for  $\wedge$ ,  $\vee$ ,  $\neg$
  - Quantifiers:  $\forall$  is conjunction,  $\exists$  is disjunction

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- 3-valued FO (a textbook version)
  - domain has usual values and NULL
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- Seemingly more expressive.
- But does it correspond to reality?



# SQL logic is **NOT** 2-valued or 3-valued: it's a **mix**

- Conditions in **WHERE** are evaluated under 3-valued logic. But then only those evaluated to **true** matter.
- Studied before only at the level of **propositional** logic.
- In 1939, Russian logician Bochvar wanted to give a formal treatment of logical paradoxes. He needed to assert that something is true, and introduced a new connective: **↑p means that p is true.**
- Amazingly, 40 years later SQL adopted the same idea.

# What did SQL really do?

- 3-valued FO with  $\uparrow$ :
  - domain has usual values and **NULL**
  - comparisons with **NULL** result in unknown
  - Kleene logic rules for  $\wedge, \vee, \neg$
  - Quantifiers:  $\forall$  is conjunction,  $\exists$  is disjunction
  - Add  $\uparrow$  with the semantics

$$\uparrow\varphi = \begin{cases} \text{true}, & \text{if } \varphi \text{ is } \text{true} \\ \text{false}, & \text{if } \varphi \text{ is } \text{false} \text{ or } \text{unknown} \end{cases}$$

# What IS the logic of SQL?

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  - logician's 2-valued FO
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- We have:
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- **AND THEY ARE ALL THE SAME!**

**THEOREM:**  $\uparrow$  can be expressed in 3-valued FO.

3-valued FO = 3-valued FO with  $\uparrow$

**THEOREM:** For every formula  $\phi$  of 3-valued FO, there is a formula  $\psi$  of the usual 2-valued FO such that

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**Translations work at the level of SQL too!**

# ***2-valued SQL***

Idea — 3 simultaneous translations:

- conditions  $P \longrightarrow P^t$  and  $P^f$
- Queries  $Q \longrightarrow Q'$

$P^t$  and  $P^f$  are Boolean conditions:  $P^t / P^f$  is true  
iff  $P$  under 3-valued logic is true / false.

In  $Q'$  we simply replace  $P$  by  $P^t$



# 2-valued SQL: translation

$P(\bar{t})^t = P(\bar{t})$	$P(t_1, \dots, t_k)^f = \text{NOT } P(t_1, \dots, t_k) \text{ AND } \bar{t} \text{ IS NOT NULL}$
$(\text{EXISTS } Q)^t = \text{EXISTS } Q'$	$(\text{EXISTS } Q)^f = \text{NOT EXISTS } Q'$
$(\theta_1 \wedge \theta_2)^t = \theta_1^t \wedge \theta_2^t$	$(\theta_1 \wedge \theta_2)^f = \theta_1^f \vee \theta_2^f$
$(\theta_1 \vee \theta_2)^t = \theta_1^t \vee \theta_2^t$	$(\theta_1 \vee \theta_2)^f = \theta_1^f \wedge \theta_2^f$
$(\neg \theta)^t = \theta^f$	$(\neg \theta)^f = \theta^t$
$(t \text{ IS NULL})^t = t \text{ IS NULL}$	$(t \text{ IS NULL})^f = t \text{ IS NOT NULL}$
$(\bar{t} \text{ IN } Q)^t = \bar{t} \text{ IN } Q'$	$((t_1, \dots, t_n) \text{ IN } Q)^f = \text{NOT EXISTS } (\text{SELECT } * \text{ FROM } Q' \text{ AS } N(A_1, \dots, A_n) \text{ WHERE } (t_1 \text{ IS NULL OR } A_1 \text{ IS NULL OR } t_1 = N.A_1) \text{ AND } \dots \dots \text{ AND } (t_n \text{ IS NULL OR } A_n \text{ IS NULL OR } t_n = N.A_n))$

Note: a lot of disjunctions with **IS NULL** conditions

# Shall we switch to 2-valued SQL?

- Not so fast perhaps. Two reasons:
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  - using 2 truth values introduces many new **disjunctions**. And DBMSs don't like disjunctions!

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  - */\* we stop as soon as we hit a non-AND item \*/*

Questions?